

# MINUTES

## CALIFORNIA TRANSPORTATION COMMISSION

**January 14-15, 1998**  
**Sacramento, California**

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The meeting of the California Transportation Commission was called to order at 1:35 p.m. on January 14, 1998 in Assembly Hearing Room 447 of the State Capitol Building in Sacramento, California.

Members Present: Robert Wolf, Chairman  
Edward Sylvester, Vice Chairman  
Mary Berglund  
P. Gregory Conlon, arrived at 2:00  
Octavia Diener  
David Fleming  
Edward Jordan  
Roger Kozberg  
Dana Reed

Members Absent: Senator Quentin Kopp, Ex-Officio  
Assemblyman Kevin Murray, Ex-Officio

The meeting recessed at 4:15 p.m. and reconvened at 8:35 a.m. on January 15, 1998.

Members Present: Robert Wolf, Chairman  
Edward Sylvester, Vice Chairman  
Mary Berglund  
P. Gregory Conlon  
Octavia Diener  
David Fleming  
Edward Jordan  
Roger Kozberg, arrived at 8:45 a.m.  
Dana Reed, arrived at 8:40 a.m.  
Senator Quentin Kopp, arrived at 8:50 a.m.

Members Absent: Assemblyman Kevin Murray, Ex-Officio

### **1. GENERAL MATTERS**

#### **1.(1) Approval of Minutes for December 9-10, 1997**

Chairman Wolf presented the minutes. Commissioner Fleming moved to approve the minutes. Vice Chairman Sylvester seconded the motion which carried 7-0 (Commissioners Conlon and Jordan were absent).

**1.(2) Commissioners' Meetings for Compensation**

Chairman Wolf presented the List of Meetings for Compensation for December 1997. Commissioner Fleming moved to approve the List. Commissioner Berglund seconded the motion which carried 7-0 (Commissioners Conlon and Jordan were absent).

**1.(3) Consent Calendar**

Deputy Director Robert Chung presented the Consent Calendar. He noted that Item 2.4b Resolutions C-17308 and C-17319 were revised to correct reference to Kilometer-Posts which were incorrectly listed in the Book Item; the correct K.P. references are "K.P. 4.4 and K.P. 5.0/6.1", and :K.P. 35.04 respectively.

Mary Akins, Coalition for a One-Stop Terminal spoke against the allocation for BART in Item 2.6b(1). Michael Tanner, BART, spoke in support of the allocation. Chairman Wolf polled the Commissioners and determined that they did not wish to remove the item from the Consent Calendar.

Chairman Wolf referenced the potential consent calendar items 2.5b(3), 2.5g, 2.6b(2), 2.8 which were added to the Consent Calendar with the general consensus of the Commission.

The revised Consent Calendar was as follows: Items 2.1a, 2.1f(1 and 3), 2.2c, 2.4b, 2.4d(1), 2.5a, 2.5b(1,2,3,4,5), 2.5c(1,2), 2.5g, 2.6a(1), 2.6b(1,2,3), 2.6e, 2.8, and 2.9(1,2,3).

Commissioner Diener moved to approve the revised Consent Calendar. Commissioner Fleming seconded the motion which carried 8-0 (Commissioner Conlon was absent).

**1.(4) Commission Reports**

Chairman Wolf announced the following committee assignments:

- Commissioner Fleming, Liaison, Technical Advisory Committee on Aeronautics
- Commissioner Kozberg, Liaison, Airspace Advisory Committee
- Commissioner Berglund, Liaison, SCAG Committee on Goods Movement
- Commissioner Conlon, Liaison, Caltrans Congestion Management Oversight and Issue Review
- Commissioner Reed, Liaison, Rail Right of Way Purchases
- Commissioner Sylvester, Liaison, Rural Counties Task Force

SB 45 Committees:

- Public Transit Committee  
Commissioner Fleming, Chair; Commissioners Jordan, Kozberg, and Reed
- Interregional System Committee  
Commissioner Sylvester, Chair; Commissioners Diener and Berglund
- Financial Reporting Committee  
Commissioner Jordan, Chair; Commissioners Diener and Conlon

Commissioner Berglund reported on her attendance at a Center Study for Infrastructure and Finance Conference which focused on innovative ways to fund public/private partnerships.

Commissioner Conlon discussed traffic congestion and the need to stagger starting and ending times to help relieve traffic congestion. Chairman Wolf asked Caltrans to facilitate Commissioner Conlon's involvement with congestion management.

**ACTION: J. Nicholas**

Chairman Wolf also asked Commissioner Fleming to initiate meetings with BT&H Agency and Caltrans to discuss rail issues.

**ACTION: Commissioner Fleming**

Chairman Wolf also asked Commissioner Sylvester to initiate meetings with BT&H Agency and Caltrans to discuss the interregional program.

**ACTION: Commissioner Sylvester**

Executive Director Remen presented a request to move the October 1998 Commission meeting from Sacramento to San Jose. Commissioner Berglund moved to approve the schedule change. Commissioner Fleming seconded the motion which carried 8-0 (Commissioner Jordan was absent).

**1.(5) Business, Transportation and Housing Agency Report**

Del Pierce, BT&H Agency Undersecretary, discussed issues concerning the budget, airport access, and transportation management centers.

**1.(6) Caltrans Report**

Jim Van Epps, representing Caltrans Director van Loben Sels, discussed the shooting incident at a Caltrans office and noted that a memorial had been established for the four victims.

**1.(7) Regional Agencies Report**

Craig Scott, Regional Agencies Moderator, reported on the planned opening of the Mission Valley West Line and noted that the regional agencies would comment on the STIP items when they were presented. He also discussed the development of an MOU to sort out roles on project delivery pursuant to SB 45.

**2. PROJECT BUSINESS MATTERS**

**2.1 Program Amendments/Project Approvals**

**2.1a. STIP Amendment for Action**

- STIP Amendment 96S-52 - Action  
Identifies \$2,562,000 in Transportation Enhancement Activities (TEA) fund savings created by actual savings and project deletions and trades with Proposition 116 funding and reprograms the funds by augmenting an existing TEA project and adding five new TEA projects. (Noticed at December 1997 Meeting under Item 4.5)

This item was approved on the Consent Calendar.

**2.1b. STIP Amendment for Notice**

- STIP Amendment 96S-53 - Notice  
Reprograms \$206,000 of an \$800,000 Burbank Airport Station STIP reconstruction project funded with Proposition 108 Bond Program funds in FY 1995-96 to a Van Nuys Station project to construct a platform extension to be programmed in FY 1997-98. Requested by the Department.

Stephen Maller, Caltrans, described the project and noted it would be presented in February for action.

Commissioner Reed moved to place this item on the February Consent Calendar. Commissioner Jordan seconded the motion which carried 8-0 (Commissioner Conlon was absent).

**2.1c. TCI Amendments**

No Items This Month

**2.1d. Toll Bridge/Aeronautics Program Amendments**

No Items This Month

**2.1e. Proposition 116 - Non Urban County Project Approvals/Amendments**

No Items This Month

**2.1f. Proposition 116 - Project Approvals/Amendments**

- 2.1f.(1)** Project Approval for \$1,500,000 to West Contra Costa Transportation Advisory Committee (WCCTAC) for Richmond Intermodal Transfer Station  
Resolution PA-98-01

This item was approved on the Consent Calendar.

- 2.1f.(2)** Project Approval for \$2,500,000 to Peninsula Corridor Joint Powers Board (PCJPB) for Passenger Railcar Purchase  
(See related Item 5.1b)  
Resolution PA-98-02

The Commission approved this item. See Item 5.1b for proceedings related to this item.

- 2.1f.(3)** Project Approval Amendment for \$3,182,282 to San Francisco Public Transportation Commission (SFPTC) for Muni Advance Train Control System  
Resolution PA-98-03 Amending PA-92-12, PA-93-03 and PA-96-25

This item was approved on the Consent Calendar.

**2.2 Environmental Matters****2.2a. Notice of Preparation of an Environmental Impact Report**

- Route 58 in Kern County - Construct a four-lane freeway north and east of Mojave on the alignment selected by a Tier I Environmental Impact Report (EIR).

Chief Deputy Director Pete Hathaway briefly summarized the Notice of Preparation of an EIR for Route 58 in Kern County. He recommended the Commission not comment at this time. The Commission accepted the recommendation.

**2.2b. Comments on Documents in Circulation**

- Route 58 in Kern County - Construct freeway on new alignment between Route 5 and Route 99 in and near the City of Bakersfield.

Chief Deputy Director Pete Hathaway presented the Draft EIR for a new Route 58 freeway between I-5 and Route 99. He discussed the project and suggested comments by the Commission about state funding and project features of state interest.

Commissioner Berglund moved to send comments on the project. Commissioner Conlon seconded the motion which carried 6-0 (Commissioners Diener, Fleming and Jordan were absent).

**ACTION: P. Hathaway**

**2.2c. Approval of Projects for Future Consideration of Funding**

- Route 1 in Mendocino County - Reconstruct an existing eroding slope and realign roadway approximately 20 miles north of Fort Bragg. (Negative Declaration)  
Resolution E-98-7

This item was approved on the Consent Calendar.

**2.2d. Adoption of Findings and Approval for Future Consideration of Funding**

- 2.2d.(1)** Route 101 in Ventura County - Reconstruct the Route 101/34 Interchange and construct northbound ramps at Flynn Road in the City of Camarillo. (Environmental Impact Report)  
Resolution E-98-5

Executive Director Remen presented Items 2.2d(1 and 2). He reviewed the projects and mitigation efforts.

After discussion, Commissioner Fleming moved to adopt findings that the projects' impacts have been mitigated and to approve projects [Items 2.2d (1 and 2)] for future funding and to direct staff to prepare the final Notices of Determination. Commissioner Diener seconded the motion which carried 9-0.

- 2.2d.(2)** Route 1 in Ventura County - Reconstruct the Pleasant Valley Road Interchange and extend Rice Avenue to Hueneme Road in the City of Oxnard. (Environmental Impact Report)  
Resolution E-98-6

Refer to Item 2.2d(1) for proceedings related to this item.

**2.3** Highway Route Matters

No Items This Month

**2.4** Highway Right of Way Matters

**2.4a.** Resolutions of Necessity - Appearances

- 2.4a.(1)** High Meadow Homeowners' Association Number 1  
05-Mon-1-74.1  
C-17301

The proceedings for this item began at 11:45 a.m.

Chief Deputy Director Pete Hathaway discussed the statutory requirements for a Resolution of Necessity Hearing. He discussed the project and the proposed action.

Chairman Wolf asked Caltrans if action could be delayed until after region complies with the planning process.

Terry Abbott and Jim Nicholas, Caltrans, discussed the reasons for opposing a delay in action at this meeting.

The proceedings were put on hold until after 12:30 p.m. and was taken up again at 12:45 p.m.

Assemblyman Fred Keeley requested the Commissioner to postpone action and discussed issues which could be resolved prior to this action.

Commissioner Reed moved to table the item until the March meeting. The motion died due to a lack of a second for the motion.

Terry Abbott, Caltrans, described the project and discussed why the parcel was necessary.

The following people provided testimony to the Commission:

- Joe Lopez, TAMC
- Christine Gianoscol
- John Temple, High Meadows Homeowners Association
- Laura Lee, representing Supervisor Dave Potter
- Gary Tate, Monterey Peninsula Regional Park District

Allan Hendrix, Caltrans Deputy Director for Planning and Tony Anziano, Caltrans Legal Office, discussed the impact of delaying action. Commissioner Fleming moved to approve the Resolution of Necessity with the caveat that condemnation proceedings could start but not be completed until after March 2. Commissioner Diener seconded the motion. Chairman Wolf ordered a roll call vote. The motion carried 7-1 as follows:

AYES: Commissioners Berglund, Conlon, Diener, Fleming, Kozberg, Sylvester, and Wolf (7)

NOES: Commissioner Reed (1)

ABSENT: Commissioner Jordan (1)

**2.4a.(2)** Donald E. Sharrer, et ux  
03-Yub-70-K.P. 35.5  
C-17302

This item was pulled by Caltrans to be rescheduled for a later meeting.

**2.4a.(3)** Route 168 in Fresno

- Nolan Doss, Jr., et ux  
06-Fre-168-KP R9.17  
C-17303
- Nolan Doss III, et ux  
06-Fre-168-KP R9.17  
C-17304

This item was pulled by Caltrans to be rescheduled for a later meeting.

**2.4b.** 21 Resolutions of Necessity  
C-17305 Through C-17325

This item was approved on the Consent Calendar.

**2.4c.** Airspace Leases

No Items This Month

**2.4d.** Director's Deeds

**2.4d.(1)** Items # 1 Through 14  
Excess Lands - Return to State: \$2,330,300  
Return to Others: \$0

This item was approved on the Consent Calendar.

- 2.4d.(2)** Item #15  
Route 710  
Excess Lands - Return to State: \$140,000  
Return to Others: \$0

Stephen Maller, Caltrans, reported that the buyer had elected to pay fair market value and recommended approval. Commissioner Diener moved to approve the Director's Deed. Commissioner Berglund seconded the motion which carried 9-0.

## **2.5 Highway Financial Matters**

### **2.5a. Financial Vote for Minor Projects.**

- Sixteen Minor Projects totaling \$3,571,000 plus \$633,500 in Local Contributions.  
Resolution FP-97-50

This item was approved on the Consent Calendar.

### **2.5b. Financial Vote for State STIP/SHOPP/Toll Bridge/TSM/TEA Projects.**

- 2.5b.(1)** Five STIP Projects totaling \$25,889,000.  
Resolution FP-97-51

This item was approved on the Consent Calendar.

- 2.5b.(2)** Thirteen SHOPP Projects totaling \$40,866,000.  
Resolution FP-97-52

This item was approved on the Consent Calendar.

- 2.5b.(3)** Nine SHOPP Amended Projects totaling \$15,542,000.  
Resolution FP-97-53

This item was approved on the Consent Calendar.

- 2.5b.(4)** Two Toll Bridge Projects totaling \$34,208,000.  
Resolution FP-97-54

This item was approved on the Consent Calendar.

- 2.5b.(5)** One TSM Project at \$450,000.  
Resolution FP-97-55

This item was approved on the Consent Calendar.



**2.5c. Financial Vote for Local Assistance STIP/TSM/TEA/EEM Projects**

- 2.5c.(1)** Two Local TEA Projects totaling \$981,000 plus \$280,106 in Local Contributions.  
Resolution FP-97-56

This item was approved on the Consent Calendar.

- 2.5c.(2)** Four Local EEM Projects totaling \$625,000 plus \$1,604,046 in Contributions from Others.  
Resolution FP-97-57

This item was approved on the Consent Calendar.

- 2.5c.(3)** Change in Scope and Local Funding for Snow Creek Multiple Species Habitat Acquisition EEM Project in Riverside County. (Consistent with Concurrent allocation under Item 2.5c.(4).)

Environmental Enhancement and Mitigation (EE&M) Program Manager, Rick Gumz, presented Items 2.5c(3) and (4). He provided background information on the EEM Program and discussed the project selection and approval process. He described the proposed change in scope and local funding for the Snow Creek project and discussed concerns about the precedent of rolling back the local share without a proportionate rollback in State funds. Assemblyman Jim Battin spoke in support of the project.

Bill Havert, Coachella Valley Conservancy, spoke in support of the project.

Don Wallace, Assistant Secretary Resources Agency, spoke in support of the project.

After discussion, Commissioner Conlon moved to approve Items 2.5c.(3) and (4) as requested by the applicant. Commissioner Reed seconded the motion which carried 9-0.

- 2.5c.(4)** One Local EEM Project (Snow Creek) at \$200,000 in State EEM funds and \$130,000 in Contributions from Others (Consistent with concurrent scope change under Item 2.5c.(3).)  
Resolution FP-97-58

This item was approved by the Commission. Refer to Item 2.5.c(3) for proceedings related to this item.

**2.5d. Financial Vote for Project with Cost Increases Greater than 120 Percent of Program Amount. One Project at \$1,121,000.  
Resolution FP-97-59**

- 04-SJ-4 - SHOPP project in San Joaquin County on Route 4. Remove and Replace Fender System at Old River Bridge number 29-0045. Current program value \$801,000 and current estimate \$1,121,000 for a 40 percent increase over programmed amount.

Jim Nicholas, Caltrans, described the project and discussed the need for supplemental funds. The Commission requested that future item identify more clearly the source of savings to cover cost increases.

**ACTION: J. Nicholas**

After discussion, Commissioner Kozberg moved to approve the funding. Commissioner Berglund seconded the motion which carried 8-0 (Commissioner Jordan was absent).

**2.5e.** Financial Vote for Supplemental Funds for Previously Approved Projects.  
Three projects totaling \$918,000.  
Resolution FA-97-06

- 08-Riv-111 - SHOPP project in Riverside County on Route 111. \$69,000 To Allow Award. Original vote \$217,000 for a grand total of \$286,000. 32 Percent increase over Vote.
- 09-Mno-108 - SHOPP project in Mono County on Route 108. \$62,000 for On Going Project. Original vote \$224,000 plus \$33,100 for a grand total of \$319,100. 42 Percent increase over Vote.
- 11-SD-5 - SHOPP project in San Diego County on Route 5. \$787,000 To Allow Award. Original vote \$939,000 for a grand total of \$1,726,000. 84 Percent increase over Vote.

Jim Nicholas, Caltrans, described the project and discussed the need for supplemental funds. The Commission requested the Department to improve the access to project sight specific information for projects designed outside the District.

**ACTION: J. Nicholas**

After discussion, Commissioner Berglund moved to approve the funding. Commissioner Conlon seconded which carried 8-0 (Commissioner Jordan was absent).

**2.5f.** Emergency Allocations

Jim Nicholas, Caltrans, presented Item 2.5f.(1) and (2). He described the projects for Emergency and Seismic Retrofit G-11 Allocations. He noted that 6 additional emergency G-11s for a total of \$2,311,000 had been made following the meeting material preparation.

**2.5f.(1)** Informational Report - Emergency G-11 Allocations  
Seven Projects totaling \$2,808,000.

**2.5f.(2)** Informational Report - Seismic Retrofit G-11 Allocations from  
Proposition 192 Revenues.  
One Project at \$120,000.

**2.5g.** Financial Vote for Toll Bridge Seismic Retrofit Project Funded with  
Proposition 192 Revenues. One Project at \$22,373,000.  
Resolution FP-97-60

- 04-SF-80-EA043541 - In San Francisco County on Route 80 at the San Francisco-Oakland Bay Bridge for a cost of \$22,373,000.

This item was approved on the Consent Calendar.

**2.6** Mass Transportation Financial Matters

**2.6a.** Financial Vote for TCI Program Projects

**2.6a.(1)** Five Local TCI Program Projects totaling \$1,045,271  
Resolution MFP-97-45

This item was approved on the Consent Calendar.

- 2.6a.(2)** Two Local TCI Program Projects for North Coast Railroad Authority (NCRA) totaling \$463,550  
Resolution MFP-97-46

Deputy Director Robert Chung reported that the Commission could not act on this item as a result of Commission action in 5.1c.(1). The Commission requested staff to:

- Work with Caltrans and NCRA staff in identifying means for fully funding the Q fund sinking fund, and explore availability and eligibility from current revenue sources for \$100,000 for timely completion of audits.

**ACTION: R. Chung**

- For a future Commission meeting, investigate the potential for securing Union Pacific's cooperation in assisting NCRA.

**ACTION: Commissioner Fleming/R. Chung**

**2.6b.** Financial Vote for Proposition 116 Projects

**2.6b.(1)** Two Local Proposition 116 Rail Projects totaling \$10,533,000

- \$533,000 to Alameda County Public Works for Altamont Commuter Express Rail Stations  
Resolution BFP-97-14
- \$10,000,000 to Bay Area Rapid Transit District (BART) for San Francisco International Airport (SFO) Extension  
Resolution BFP-97-15

This item was approved on the Consent Calendar.

**2.6b.(2)** One State Proposition 116 Rail Project totaling \$1,003,000

- \$1,003,000 to Department of Transportation (Caltrans) for Right of Way Acquisition and Utility Relocation for Alameda Corridor, Sepulveda Blvd. Grade Separation Project.  
Resolution BFP-97-16

This item was approved on the Consent Calendar.

**2.6b.(3)** Two Local Proposition 116 Non-Urban County Projects  
Totaling \$1,527,800

- \$904,800 to Redding Area Bus Authority (RABA) for Shasta County Transit Development Plan Capital Program  
Resolution BFP-97-17
- \$623,000 to City of Napa for Acquisition of Transit Vehicles  
Resolution BFP-97-18

This item was approved on the Consent Calendar.

**2.6c.** Financial Vote for STIP Rail/Transit Projects

No Items This Month

**2.6d.** Financial Vote for Multiple Program Funded Projects

No Items This Month

**2.6e.** Allocation Amendments for Proposition 116 Projects

- City of Napa - Reduces project allocation from \$138,000 to \$85,483 for the Purchase of Transit Vehicles. The Fund Transfer Agreement expired prior to the purchase of the third vehicle. Deallocated Balance of \$52,517 to be available for a future transit vehicle allocation. Resolution BFA-97-06, amending BFP-95-20.
- County of El Dorado - Reduces project allocation from \$1,000,000 to \$800,000 for the Multimodal Transportation Facility in El Dorado Hills, to be consistent with Project Approval PA-97-17 which reprogrammed the \$200,000 in savings from this project to the El Dorado Bicycle/Pedestrian Trail Route 50 Overcrossing Project. This deallocated balance of \$200,000 will be allocated to the Bicycle Project at a future date. Resolution BFA-97-07, amending BFP-95-21.

This item was approved on the Consent Calendar.

**2.6f.** SB 2800 Advance Local Match Requests

No Items This Month

**2.6g.** Financial Vote for Supplemental FFY 1997-98 Amtrak (Intercity Rail)  
Operating Support Allocation  
Resolution MFP-97-47

- \$1,500,000 to the Department of Transportation (Caltrans) for additional FFY 1997-98 Amtrak Intercity Passenger Rail and Feeder Bus Services for the San Diego Corridor.

This item was approved by the Commission. Refer to Item 5.1a for proceedings related to this item.

**2.7** Aeronautics Financial Matters

No Items This Month

**2.8** Waiver of Commission Policy Guidelines

- Waiver and extension of Award Deadline from Financial Guidelines for one Local Flexible Congestion Relief (FCR) project.

This item was approved on the Consent Calendar.

**2.9** Technical Changes to Previously Approved Resolutions

- 2.9(1)** Resolution MFP-97-19 - Originally approved October 30, 1997, in the amount of \$250,000 to the Los Angeles County Metropolitan Transportation Authority (LACMTA) for the Vincent Hill/Acton Grade Metrolink Station. Change program year from 1997-98 Transit Capital Improvement (TCI) Program to 1996-97 (\$241,000) TCI Program and 1997-98 (\$9,000) TCI Program to reflect actual year projects were programmed.

This item was approved on the Consent Calendar.

- 2.9(2)** Resolution MFP-97-25 - Originally approved October 30, 1997, in the amount of \$2,600,000 to the LACMTA for the Westlake Community Intermodal Intercept Facility. Change program year from 1997-98 Transit Capital Improvement (TCI) Program to 1996-97 TCI Program (\$1,500,000) and 1997-98 (\$1,100,000) to reflect actual year projects were programmed.

This item was approved on the Consent Calendar.

- 2.9(3)** Resolution MFP-97-41 - Originally approved October 30, 1997, in the amount of \$1,007,500 to the City of Tustin for the Tustin Commuter Rail Station. Change program year from 1997-98 Transit Capital Improvement (TCI) Program to 1996-97 TCI Program to reflect actual year project was programmed.

This item was approved on the Consent Calendar.

**2.10** Contract Approval of \$757,037 funded by Proposition 116 Bond Funds for the Department of Transportation's FY 1997-98 Administration Costs

David Cabrera, Caltrans, reviewed the proposed Proposition 116 Interagency Agreement between Caltrans and the Commission.

Commissioner Sylvester moved to approve the contract. Commissioner Fleming seconded the motion which carried 7-0 (Commissioners Jordan and Reed were absent).

**3. PROGRAM STATUS**

**3.1 Caltrans Monthly FY 1997-98 Program Finance Report**

Joan Borucki, Caltrans, reported on progress toward developing a new format for Monthly Program Finance Report. She reported on the cash forecast and the SHA cash balance which was \$1.4 billion at the end of November 1997.

The Commission requested Caltrans to report next month on efforts to restructure financial and other monitoring reports and also utilize overhead transparencies for both Commissioners and the audience in the presentation of these reports.

**ACTION: R. Remen/C. House**

**3.2 Monthly Report on Status of Projects Previously Voted but not Awarded**

Jim Nicholas, Caltrans, discussed the status of three projects previously voted but not yet awarded and discussed reasons why the award had not yet been made.

The Commission requested Caltrans to present the Department's policy used to weigh the increased cost of rescoping projects in relationship to the intended savings for the project's construction costs, including the identification for the Commission of the additional support cost.

**ACTION: J. Nicholas**

**4. POLICY MATTERS****4.1 State Legislative Matters**

Deputy Director Chuck Oldham reported on AB 1657, which makes the Environmental Enhancement and Mitigation (EE&M) Program permanent and AB 1624, which removes the restriction on building HOV lanes in the unincorporated areas of Alameda County.

**4.2 1998 STIP Implementation per SB 45 (Kopp)****4.2a. Framework for Interregional Improvement Program**

Executive Director Remen briefly discussed the challenge and process of defining a framework for the Interregional Improvement Program. Chief Deputy Director Pete Hathaway summarized the staff recommendations for an Interregional Program framework. He discussed the Interregional Program objectives and criteria for State highway and rail projects.

**4.2b. Strategic Plan for Interregional Improvement Program**

Andrew Poat, Caltrans, discussed the preparation of the Strategic Plan. He noted that comments were requested by February 2 and the final plan was due March 1, 1998. Allan Hendrix, Caltrans, presented a detailed summary of the Strategic Plan for the Interregional Improvement Program.

**4.2c. Adoption of Interim 1998 STIP Policies and Guidelines**

Deputy Director David Brewer reviewed the 1998 STIP Interim Guidelines and the major changes that resulted from the December meeting which included programming of retrofit soundwalls, TEA restriction in County shares, Regional Improvement Program Project eligibility, PTA funding, Caltrans ITIP Interregional Program Objectives, and Caltrans Regional Consultations.

The following people presented testimony on matters covered under Items 4.2a, b and c:

Senator Quentin Kopp  
Craig Scott, Regional Agencies Moderator  
Jenny Oropeza, Councilmember—Long Beach  
Rusty Selix, CALCOG  
Jerry Eaves, SANBAG  
Kathy Davis, San Bernardino County Supervisor  
Richard Stanger, SCRRA  
Hideo Sugita, Riverside County Transportation Commission  
Scott White, Trinity County Transportation Commission  
Debbie Hale, Santa Cruz County Regional Transportation Commission  
Vic Kamhi, LACMTA  
Louise Givens, Orange County Transportation Commission

The following action items resulted from the discussion of Items 4.2a, b, and c:

- Modify guidelines to allow the calculation of planning funds for all regional agencies to be based upon county shares plus any carry over deficits.

**ACTION: D. Brewer**

- Make explicit the definition of intercity rail to also include projects serving commuter rail service.

**ACTION: D. Brewer**

- Revise Interregional Improvement Program as well as Regional Program to include transportation demand projects.

**ACTION: D. Brewer**

- All projects from 1996 STIP or newly proposed for 1998 STIP must carry demonstration of full funding for capital and operations.

**ACTION: D. Brewer**

- Direct a letter to all Regional Agencies restating the invitation to identify interregional highway and railway projects that Regional Agencies are first likely to nominate under “the 15 percent program component and which hope to be nominated or proposed under the 10 percent component”. Such projects shall be identified and submitted to Caltrans and Commission office by January 31.

**ACTION: R. Remen**

- Schedule a meeting with SCAG and county transportation commission to develop alternative wording to describe the roles and procedures for considering regional and county programs for the 1998 STIP.

**ACTION: R. Remen**

- Submit to the Commission its analysis of the cost effectiveness of proposed projects in the SCAG region.

**ACTION: M. Pisano (SCAG)**

- Transmit adopted Fund Estimate and Interim STIP Guidelines to regions and interested parties.

**ACTION: D. Brewer/J. Borucki**

The Commissioners discussed the Policies and Guidelines. Commissioner Jordan moved an amendment to the guidelines to prohibit use of STIP funds to supplant previously committed local funds if those local funds would then end up being used for other than an alternative transportation capital project with this amendment to be included in the guidelines provisionally pending an opportunity for comment and review at the February meeting. Commissioner Berglund seconded the motion which carried 9-0.

Commission Berglund moved to adopt the interim 1998 STIP Guidelines. Commissioner Kozberg seconded the motion which carried 9-0.

#### **4.2d. Adoption of 1998 STIP Fund Estimate**

Joan Borucki, Caltrans, presented the draft 1998 Fund Estimate for adoption. The Commission requested Caltrans to identify and report back to the Commission the means for reducing, if not eliminating, the deficit in PTA funds in the adopted Fund Estimate.

**ACTION: R. Chung/ N. Ortega**

Commissioner Reed moved to adopt the Fund Estimate. Commissioner Diener seconded the motion. Executive Director Remen discussed the possibility of using State Highway Account funds for Caltrans support costs as an alternative to using PTA funds. The motion was amended to include this concept. The motion carried 9-0.

#### **4.2e. Final Proposed Recommendations for Clean-Up Legislation**

Chief Deputy Director Pete Hathaway summarized the staff recommendations for clean-up legislation concerning, retrofit soundwalls, CMAQ-RSTP match, and Caltrans consent for regional program flexibility.

Commissioner Reed moved to adopt the recommendations for transmittal to the Legislature.



Craig Scott, Regional Agencies Moderator, discussed extension of the deadline to adopt final guidelines. Executive Director Remen recommended delaying the guideline adoption requirement to coincide with the required assessment of the 1998 STIP process under SB 45 which is due in February 1999. The motion was amended to include Mr. Remen's recommendation. The motion carried 9-0.

**ACTION: C. Oldham**

**4.3** California Aviation System Plan (CASP)

**4.3a.** Adoption of CASP Policy Element

Nancy Benjamin, Caltrans, presented the CASP Policy Element for approval. Chairman Wolf reported that it had been reviewed and approved by TACA. Commissioner Berglund moved to adopt the CASP Policy Element. Commissioner Diener seconded the motion which carried 8-0 (Commissioner Jordan was absent.)

**4.3b.** Adoption of Biennial Update of Capital Improvement Program (CIP)  
Element of the CASP

Bob Moore, Caltrans, presented the CASP Capital Improvement Element for approval noting that it had been revised to include information on capital improvement needs at commercial airports as recommended by TACA. Commissioner Sylvester requested that the next iteration of the Capital Improvement Program of the CASP include identification and assessment of ground access requirements to commercial airports.

**ACTION: M. Beckwith**

After discussion, Commissioner Diener moved to adopt the Capital Improvement Element. Commission Sylvester seconded the motion which carried 8-0 (Commissioner Jordan was absent).

**4.4** Presentation of the Governor's Proposed FY 1998-99 Budget

Joan Borucki, Caltrans, presented the Governor's FY 1998-99 Budget for Caltrans. She discussed the priorities, budget change proposals, and staffing. The Commission requested staff to prepare an outline of the Budget Evaluation Report for FY 1998-99 examining the linkage between project support budgeting and the new mandate in SB 45 for identifying and programming project support on a project by project basis and also include the linkage between the support budget and the deliverability of the STIP.

**ACTION: D. Brewer**

**5. MODAL ISSUES**

**5.1 Rail****5.1a. Presentation of Final Business Plan by Amtrak West and Report on Level of State Operated Support**

Gil Mallery, Amtrak, presented information on the Amtrak Reauthorization legislation and reviewed Amtrak's goals for expanded service, speed and capacity improvements, and new routes. He also discussed the plan for reducing federal subsidies, the Long Range Capital Plan, and funding needs for capital investments.

The Commissioners discussed train service in California and plans for capital improvements. They also discussed the allocation request for \$1.5 million for the San Diegan Service (Item 2.6g). Commissioner Reed moved to approve Item 26g. Commissioner Fleming seconded the motion which carried 8-0 (Commissioner Jordan was absent).

**5.1b. Status of Peninsula Corridor Joint Powers Board (PCJPB) Caltrain Service and Report on Procurement**

Deputy Director Robert Chung presented background information for Proposition 116 applications and rail car purchases by the Peninsula Corridor JPB.

Jerry Kirzner, PCJPB Director of Rail Service for Caltrain, presented a status report on PCJPB operations. He discussed the factors which contributed to increased ridership. He also discussed projects in progress and plans for upgrading the rolling stock.

Deputy Director Chung presented Item 2.1f(2) and described the Proposition 116 application for \$2.5 million for car procurement.

Commissioner Jordan moved to approve the application. Commissioner Berglund seconded the motion which carried 8-0 (Commissioner Conlon was absent).

**5.1c. North Coast Railroad Authority (NCRA)****5.1c.(1) Caltrans' Presentation on Protection of State Interest in the NCRA Corridor**

David Cabrera, Caltrans, presented Caltrans' recommendations to protect the State's interest in the NCRA corridor. He recommended the Commission allocate funding contingent upon (1) NCRA providing deeds of trust and notes executed in favor of the State for all real property purchased with past, current or future State or Federal funding; (2) NCRA completing a Single Audit for FYs ending 1995-96 and 1996-97; and (3) NCRA providing a plan acceptable to Caltrans and California Transportation Commission to bring the sinking fund balance for Federal Q Fund Loan up to date. Additionally, he recommended that the Commissioners require NCRA to bring the Q Fund Sinking Account balance up to the required level prior to any allocation.

After hearing NCRA under Item 5.1c.(2), the Commissioners discussed the need to protect the State's interest. After discussion, Commissioner Diener moved to approve parts 1 and 2 of option 1 of Caltrans' recommendation which were to secure deeds of trust and to complete single audits, as well as option 2 that no allocation occur until NCRA has brought the sinking fund current. Commissioner Sylvester seconded the motion. After further discussion, the recommendation was read into the record. The motion carried 8-0 (Commissioner Jordan was absent.)

**5.1c.(2)** Status Report by NCRA on Repayment of Q Fund Loan and Status of Storm Damage Reimbursement from State Office of Emergency Services (OES) and Federal Emergency Management Agency (FEMA)

Dan Hauser, NCRA Executive Director, reviewed the status report on the NCRA and discussed the \$1 million loss of revenue in 1997. He also discussed the recent NCRA Board actions concerning the use of a private contractor for operations and maintenance and the use of the ISTEA appropriations for rehabilitation. He went on to discuss the Q Fund issue, its causes and plans to resolve the shortage.

**5.2** Highways

- Presentation on Traffic Operation Centers (TOCs)

After a poll of Traffic Operation Center representatives, Chairman Wolf requested that this item be rescheduled for a later Commission meeting.

**6. OTHER MATTERS**

There being no further business, the meeting adjourned at 1:25 p.m.

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Robert I. Remen, Executive Director